



REGULATORY EXCHANGE 2009

MODERATED BY GARY STEVEN FINDLEY, ESQ.

WHAT CAN YOU EXPECT FROM YOUR REGULATOR?

Community bankers, don't miss this opportunity to get the most up-to-date information from your regulator.

Your bank needs to know how to prepare for the next exam, especially in this heated environment. This forum will allow you to submit your questions, discussion items and regulatory issues beforehand to ensure you get the answers you're looking for. The **Regulatory eXchange** will be moderated by Gary Steven Findley, Esq. and will feature focused breakout sessions with top regional regulators from the FRBSF, FDIC, DFI and the OCC. Plan to join us for this unique event and share your suggestions for this tailor made program.

Agenda - July 14, Newport Beach/July 16, San Francisco

8:00 – 9:00	Registration
9:00 – 9:15	Opening Remarks <i>David Haithcock, CIB Executive Director/ICBA SVP</i>
9:15 – 9:45	Industry Overview and Outlook by Moderator <i>Gary Steven Findley, Esq., President of Gary Steven Findley & Associates</i>
9:45 – 11:00	<u>Panel Discussion –</u> Federal Reserve Bank of San Francisco: Federal Deposit Insurance Corporation: Office of the Comptroller of the Currency: California Department of Financial Institutions:
11:00 – 11:10	Break
11:10- 12:30	Agency Overview: 1) FRBSF - Overview 15 minutes / Exchange and Q&A 25 minutes 2) FDIC - Overview 15 minutes / Exchange and Q&A 25 minutes
12:30 – 1:30	Lunch
1:30 – 2:50	Agency Overview: 1) OCC - Overview 15 minutes / Exchange and Q&A 25 minutes 2) DFI - Overview 15 minutes / Exchange and Q&A 25 minutes
2:50 – 3:00	Break
3:00– 3:45	Recap
3:45	Adjourn

TWO LOCATIONS:

Tuesday, July 14

The Fairmont Hotel
4500 MacArthur Blvd.
Newport Beach, CA 92660

Thursday, July 16

The Bankers Club
52nd Floor
555 California Street
San Francisco, CA 94104

Business Attire Required
Those who should attend:
Presidents,CEOs
Senior Bank Officers
Compliance Staff
Directors

SPEAKERS:

DFI
William S. Haraf, Commissioner of Financial Institutions
Craig Carlson, Senior Deputy Commissioner

FED
Michael E. Johnson, VP & Managing Director, Banking Supervision and Regulation Division

FDIC
Kathy James, Assistant Regional Director
Robert McGibbon, Field Supervisor
David A Collins, Special Assistant to the Regional Director San Francisco Region
Joseph M. Hull, Assistant Regional Director (Acting/ Senior Examination Specialist)

OCC
Brian Quade, Assistant Deputy Comptroller



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HOW TO REGISTER



Log on to www.cib.org/regulatory_exchange.html



Fax this completed form to 949.640.1041

FEES

Bankers: \$125 Member \$200 Non-Member

Associate Members: \$400

Fee includes coffee, refreshments and lunch.

(Please make checks payable to California Independent Bankers and send to 500 Newport Center Drive, Ste. 960, Newport Beach, CA 92660)

SIGN ME UP

Choose Location : Newport Beach _____ San Francisco _____

Name: _____ Date: _____

Title: _____

Bank: _____

Primary regulator: () DFI () FDIC () OCC () FED

Mailing Address: _____

City: _____ Zip Code: _____

Phone: _____ Fax: _____

E-mail: _____ (confirmation sent to e-mail)

Payment may be made by check or credit card:

[] CHECK (_____) [] Visa [] M/C
Card Number _____

Expiration Date ____/____/____

Signature _____

[] \$125 CIB Member
[] \$200 CIB Non-Member

Total Charge \$ _____

Have a question for your regulator?

You may submit your comments and questions anonymously. The form is also available online at www.cib.org.

Primary regulator: FRBSF DFI OCC FDIC

I'd like my regulator to address:

Specific questions I have are:
